DT03 Rec'd PCT/PTO 0 8 FEB 2005

PRIORITY DATE CLAIMED

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL FILING DATE

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER
2001P09973WOUS

PLICATION NO. THOW, SEE 37 CFT.

**AUGUST 9, 2002** PCT/DE2003/002272 **JULY 7, 2003** TITLE OF INVENTION METHOD FOR TRANSMITTING AT LEAST ONE FIRST AND SECOND DATA SIGNAL IN POLARIZATION **MULTIPLEX IN AN OPTICAL TRANSMISSION SYSTEM** APPLICANT(S) FOR DO/EO/US CHRISTOPH GLINGENER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). X is attached hereto b. has been previously submitted under 35 U.S.C. 154 (d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published International Application 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. Other items or information: (a) RETURN RECEIPT POSTCARD; (b) A POWER OF ATTORNEY IS INCLUDED IN DECLARATION; (c) COVER PAGE OF PCT APPLICATION PCT/DE2003/002272; (d) INTERNATIONAL SEARCH REPORT

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## DT05 Rec'd PCT/PT0 U 8 FEB 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021 .

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO	075246	INTERNATIONAL APPLIATION NO. PCT/DE2003/002272			ATTORNEY DOCKET NUMBER 2001P09973WOUS			
21. The follow	wing fees are submitted:							
x a) Basic	national fee			\$ 3	00.00	\$ 300.00		
						\$		
X b) Examination fee\$ 200.00						200.00		
x c) Search fee						500.00		
TOTAL OF ABOVE CALCULATIONS = \$1,000.00						1,000.00		
listing or co additional 50	ee for specification and draw omputer program listing filed 0 sheets of paper or fraction							
Total Sheets	Sheets Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number)			RATE				
- 100 =	/50 =				X \$250.00			
Surcharge of \$130.00 from the earliest claim	for furnishing the oath or do ned priority date (37 CFR 1.4	eclaration 492(e)).	n later than 30 months			\$		
CLAIMS	NUMBER FILED		NUMBER EXTRA	T	RATE	\$		
Total Claims	20 - 20 =			·x	\$ 50.00	\$		
Independent claims	Independent claims 1 - 3 =			x \$200.00		\$		
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00						\$		
			TOTAL OF AB	SOVE CA	LCULATIONS =	<b>—</b> ———————————————————————————————————		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  SUBTOTAL = \$ 1,000.00								
Processing fee of \$130.00 for furnishing the English translation later than 30 months						\$		
from the earliest claimed priority date (37 CFR 1.492(f)).								
Fee for recording the	TOTAL NATIONAL FEE = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an \$							
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +								
				IAL FEE	S ENCLOSED =	\$ 1,000.00 Amount to be	\$	
						refunded:		
						Amount to be charged:	\$	
			·		- 0			
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. X Please charge my Deposit Account No. 19-2179 in the amount of \$ 1,000.00 to cover the above fees.								
A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2179 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Siemens Corporation						SIGNATURE	W	
Intellectual Property 170 Wood Avenue So			·		/			
Iselin, NJ 08830 JOHN P. MUSONE FEBRUARY 8, 2005 732-321-3026								
732-321-3020					4	NAME 107-736-6449	DATE	
<del></del>						44,961 REGISTRATION NUMBER		